



ANIK INDUSTRIES LIMITED

CIN – L24118MH1976PLC136836

Corporate Office :

2/1, South Tukoganj, Behind High Court,
Indore–452 001 (M.P.), India.

Phone : 91-731-4018009/8010 /Fax : 91-731-2513285

Email id.: anik@anikgroup.com

Website : www.anikgroup.com

Date: 17/05/2024

To,

National Stock Exchange of India Ltd.

“Exchange Plaza”, C-1, Block G,
Bandra-Kurla Complex, Bandra (E),
Mumbai –400051

Symbol: ANIKINDS

BSE Limited

25th Floor, New Trading Ring,
P.J. Towers, Dalal Street, Fort,
Mumbai-400001

Scrip Code: 519383

Sub: Annual Secretarial Compliance Report for the Financial Year Ended 31st March, 2024 under Regulation 24A of SEBI (LODR) Regulations, 2015.

Dear Sir / Ma'am,

Pursuant to Regulation 24A of SEBI (LODR) Regulation, 2015 read with SEBI Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019, BSE Circular 20230410-41 dated April 10, 2023 and NSE Circular NSE/CML/ 2023/30 dated April 10, 2023, please find attached herewith the “Annual Secretarial Compliance Report” of the Company for the Financial Year ended 31st March, 2024 issued by Mr. Ajit Jain, proprietor of M/s Ajit Jain & Co., Practicing Company Secretary, Indore.

You are requested to take the same on your records and oblige.

Thanking You,

For, Anik Industries Limited

Sourabh Vishnoi

Company Secretary & Compliance Officer



**SECRETARIAL COMPLIANCE REPORT OF
ANIK INDUSTRIES LIMITED****FOR THE YEAR ENDED 31.03.2024***(Pursuant to SEBI Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 and BSE Circular 20230410-41 and NSE Circular NSE/CML/ 2023/30 dated April 10, 2023)*

We have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by M/s **Anik Industries Limited (CIN: L24118MH1976PLC136836)** (hereinafter referred as 'the listed entity'), having its Registered Office at 610, Tulsiani Chambers, Nariman Point, Mumbai MH 400021 IN. Secretarial Review was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing our opinion thereon.

Based on our verification of the listed entity's books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, we hereby report that in our opinion, the listed entity has, during the review period covering the financial year ended on March 31, 2024, complied with the statutory provisions listed hereunder and also that the listed entity has proper Board processes and compliance mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

We, **Ajit Jain & Co., Practicing Company Secretary** have examined:

- all the documents and records made available to us and explanation provided by **Anik Industries Limited (CIN: L24118MH1976PLC136836)** ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended **31st March, 2024** in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");



The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Which is not applicable on the company during review period)**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Which is not applicable on the company during review period);**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Which is not applicable on the company during review period);**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Which is not applicable on the company during review period);**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Which is not applicable on the company during review period);**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; **(Which is not applicable on the company during review period)**

and circulars/ guidelines issued thereunder,

We hereby report that, during the Review Period the compliance status of listed entity is appended below:

S. No.	Particulars	Compliance Status (Yes/No/NA)	Observation/Remarks by PCS*
1.	Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)	Yes	None
2.	Adoption and timely updation of the Policies: <ul style="list-style-type: none"> • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities • All the policies are in conformity with SEBI Regulations and have been reviewed & updated 	Yes Yes	None None



	on time, as per the regulations/circulars/guidelines issued by SEBI.		
3.	Maintenance and disclosures on Website <ul style="list-style-type: none"> • The Listed entity is maintaining a functional website • Timely dissemination of the documents/information under a separate section on the website • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/ section of the website. 	Yes Yes Yes	None None None
4.	Disqualification of Director: None of the Director(s) of the Company is/ are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.	Yes	None
5.	Details related to Subsidiaries of listed entities have been examined w.r.t.: <p>(a) Identification of material subsidiary companies</p> <p>(b) Disclosure requirement of material as well as other subsidiaries.</p>	Yes Yes	None None
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	None
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	Yes	None
8.	Related Party Transactions: <p>(a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or</p>	Yes	Prior Approval was taken for all Related



	(b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	NA	Party Transactions.
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	None
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	None
11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein (*).	No	Fine was imposed by the National Stock Exchange of India Ltd and the BSE Limited for late submission of Audited Financial Results for the quarter ended March 31, 2023.
12.	Additional Non-compliances, if any: No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	Yes	No additional non-compliance was observed for any SEBI regulation/ circular/guidance note etc. during the year under review.

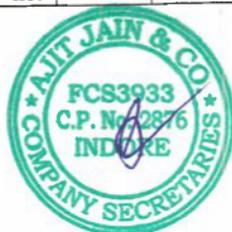
**Observations/Remarks by PCS are mandatory if the Compliance status is provided as 'No' or 'NA'*

COMPLIANCES RELATED TO RESIGNATION OF STATUTORY AUDITORS FROM LISTED ENTITIES AND THEIR MATERIAL SUBSIDIARIES AS PER SEBI CIRCULAR CIR/CFD/CMD1/114/2019 DATED OCTOBER 18, 2019: No event relating to resignation of auditor has occurred during the review period; hence, this clause is not applicable.



(a) * The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

S. No	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of violation	Fine Amount	Observations/Remarks of the Practicing Company secretary	Management Response	Remark
1.	SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015	Regulation 33	The Financial Results for the quarter ended March 31, 2023 was not submitted with Stock Exchanges within time, approved in the Board Meeting held on 06.06.2023	The National Stock Exchange of India (NSE) And BSE Limited (BSE)	Fine	Non-Compliance of Regulation 33 of SEBI (Listing Obligation and Disclosure Requirements), 2015.	Rs. 82,600/- (Including GST of Rs. 12,600/-)	The Financial Results for the quarter ended March 31, 2023 was not submitted within due time.	The Meeting of Board of Directors of the Company which was originally scheduled at Tuesday, 30 th May, 2023, for the Approval of (Standalone and Consolidated) Financial Results for the quarter and financial year ended 31 st March, 2023 is adjourned for the want of quorum and the adjourned meeting was held on Tuesday, 06 th June 2023 (same day in the next week, at the same time and place).	The Meeting of Board of Directors of the Company which was originally scheduled at Tuesday, 30 th May, 2023, for the Approval of (Standalone and Consolidated) Financial Results for the quarter and financial year ended 31 st March, 2023 is adjourned for the want of quorum and the adjourned meeting was held on Tuesday, 06 th June 2023 (same day in the next week, at the same time and place).
2.	SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015	Regulation 14	The annual listing fee for the financial year 2023-24, were not	-	-	Non-Compliance of Regulation 14 of SEBI (Listing Obligation and	-	The annual listing fee for the financial year 2023-24, were not paid to both the Stock Exchanges	The listing fee for the year 2023-24 has already been paid to both the Stock Exchanges, but due to	The listing fee for the year 2023-24 has already been paid to both the Stock Exchanges, but due to



			paid to both the Stock Exchanges within time within due time.			Disclosure Requirements), 2015.		within time	some unavoidable events and situations the payment were made as on 29.05.2023 i.e., after due dates	some unavoidable events and situations the payment were made as on 29.05.2023 i.e., after due dates
--	--	--	---	--	--	---------------------------------	--	-------------	---	---

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/Circular No.	Deviations	Action Taken by	Type of Action	Details of violation	Fine Amount	Observations/Remarks of the Practising Company secretary	Management Response	Remark
1.	SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015	Regulation 33	The Financial Results for the quarter ended September 30, 2022 approved in the Board Meeting held on 14.11.2022 was not submitted with Stock Exchange within time.	The National Stock Exchange of India (NSE)	Fine	Non-Compliance of Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements), 2015.	Rs. 5900/- (Including GST of Rs. 900/-)	The Financial Results for the quarter ended September 30, 2022 was not submitted within due time after approval in Board Meeting.	The submission of Financial Results for the quarter ended September 30, 2022 was got delayed by only one day due to some technical glitch/errors at NSE India website.	The Company has submitted the clarification for the delay and reply of the NSE is still awaited as the submission of Financial Results was got delayed by only one day due to some technical glitch/errors at NSE

