

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity **-Anik Industries Limited**
 2. Quarter ending **- 31-Mar-2018**

| I. Composition of Board of Directors | | | | | | | | | | |
|---|-----------------------|----------|------------|--|---------------------|-------------------|--------|---|---|---|
| Title (Mr./Ms) | Name of the Director | DI N | P A N | Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee) | Date of Appointment | Date of Cessation | Tenure | No of Directorships in listed entities including this listed entity | No of memberships in Audit/ Stakeholder Committee(s) including this listed entity | No of positions of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity |
| Mr. | SURESH CHANDRA SHARMA | 00062762 | AFEP546J | C & ED | 01-Aug-2013 | 17-Feb-2018 | | 1 | 0 | 0 |
| Mr. | MANISH SHARMA | 00230392 | ABJPS992F | ED | 01-Jul-2016 | | | 1 | 2 | 0 |
| Mr. | ASHOK KUMAR TRIVEDI | 00350507 | ABZPT1819N | ED | 01-Apr-2016 | | | 1 | 1 | 0 |
| Mr. | VIJAY RATHI | 01474776 | AAYPR6721P | ID | 01-Apr-2014 | | 60 | 2 | 1 | 3 |
| Mr. | KAMAL KUMAR GUPTA | 03600096 | ABQPG1806P | ID | 01-Apr-2014 | | 60 | 1 | 1 | 0 |
| Mr. | HARI NAR | 0673 | ABHPB | ID | 01-Apr-2014 | | 60 | 1 | 2 | 0 |

| | | | | | | | | | | |
|------|-------------------------------|----------------------|------------------------|----|-----------------|--|----|---|---|---|
| | AYA N BHA TNA GAR | 20 72 | 548 8M | | | | | | | |
| Mrs. | AMR ITA KOO LWA L | 07 14 46 93 | AXD PK9 564 D | ID | 31-Mar- 2015 | | 60 | 1 | 2 | 0 |
| Mr. | SHIV AM ASTH ANA | 06 42 68 64 | AH CPS 458 7R | ED | 03-Jul- 2017 | | | 1 | 0 | 0 |

II. Composition of Committees

Audit Committee

| Sr. No. | Name of the Director | Category | Chairperson/Membership |
|---------|------------------------|----------|------------------------|
| 1 | AMRITA KOOLWAL | ID | Member |
| 2 | HARI NARAYAN BHATNAGAR | ID | Member |
| 3 | KAMAL KUMAR GUPTA | ID | Member |
| 4 | VIJAY RATHI | ID | Chairperson |
| 5 | ASHOK KUMAR TRIVEDI | ED | Member |

Stakeholders Relationship Committee

| Sr. No. | Name of the Director | Category | Chairperson/Membership |
|---------|------------------------|----------|------------------------|
| 1 | AMRITA KOOLWAL | ID | Member |
| 2 | VIJAY RATHI | ID | Chairperson |
| 3 | MANISH SHAHRA | ED | Member |
| 4 | HARI NARAYAN BHATNAGAR | ID | Member |

Risk Management Committee

| Sr. No. | Name of the Director | Category | Chairperson/Membership |
|---------|----------------------|----------|------------------------|
| 1 | AMRITA KOOLWAL | ID | Member |
| 2 | GAUTAM JAIN | CFO | Member |
| 3 | MANISH SHAHRA | ED | Member |
| 4 | ASHOK KUMAR TRIVEDI | ED | Chairperson |

Nomination and Remuneration Committee

| Sr. No. | Name of the Director | Category | Chairperson/Membership |
|---------|------------------------|----------|------------------------|
| 1 | AMRITA KOOLWAL | ID | Member |
| 2 | VIJAY RATHI | ID | Chairperson |
| 3 | KAMAL KUMAR GUPTA | ID | Member |
| 4 | HARI NARAYAN BHATNAGAR | ID | Member |

III. Meeting of Board of Directors

| Date(s) of Meeting (if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Maximum gap between any two |
|---|---|-----------------------------|
| | | |

| | | |
|-------------|-------------|----|
| 20-Nov-2017 | 14-Feb-2018 | 61 |
| 14-Dec-2017 | 21-Feb-2018 | |
| | 21-Mar-2018 | |

IV. Meeting of Committees

| Name of the committee | Date(s) of meeting of the committee in the relevant quarter | Whether | Maximum gap between any two consecutive (in number of days) |
|-------------------------------------|---|---------|---|
| Audit Committee | 14-Feb-2018 | 2 | 61 |
| Audit Committee | 21-Mar-2018 | 2 | |
| Stakeholders Relationship Committee | 21-Feb-2018 | 2 | |
| Risk Management Committee | 14-Jan-2018 | 2 | |

V. Related Party Transactions

| Subject | Compliance status (Yes/No/NA) |
|--|-------------------------------|
| Whether prior approval of audit committee obtained | Yes |
| Whether shareholder approval obtained for material RPT | Not Applicable |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Yes |

VI. Affirmations

1. The composition of Board of Directors in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the reports submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Shailesh Kumath**
Designation : **Company Secretary & Compliance Office**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

| I. Disclosure on website in terms of Listing Regulations | | |
|---|--------------------------------------|--------------------------------------|
| Item | Compliance status (Yes/No/NA) | |
| Details of business | Yes | |
| Terms and conditions of appointment of independent directors | Yes | |
| Composition of various committees of board of directors | Yes | |
| Code of conduct of board of directors and senior management personnel | Yes | |
| Details of establishment of vigil mechanism/Whistle Blower policy | Yes | |
| Criteria of making payment to non-executive directors | Yes | |
| Policy on dealing with related party transactions | Yes | |
| Policy for determining 'material' subsidiaries | Yes | |
| Details of familiarization programmes imparted to independent directors | Yes | |
| Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances | Yes | |
| email address for grievance redressal and other relevant details | Yes | |
| Financial results | Yes | |
| Shareholding pattern | Yes | |
| Details of agreements entered into with the media companies and/or their associates | Not Applicable | |
| New name and the old name of the listed entity | Not Applicable | |
| II Annual Affirmations | | |
| Particulars | Regulation Number | Compliance status (Yes/No/NA) |
| <i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i> | 16(1)(b) & 25(6) | Yes |
| <i>Board composition</i> | 17(1) | Yes |
| <i>Meeting of Board of directors</i> | 17(2) | Yes |
| <i>Review of Compliance Reports</i> | 17(3) | Yes |
| <i>Plans for orderly succession for appointments</i> | 17(4) | Yes |
| <i>Code of Conduct</i> | 17(5) | Yes |
| <i>Fees/compensation</i> | 17(6) | Yes |
| <i>Minimum Information</i> | 17(7) | Yes |
| <i>Compliance Certificate</i> | 17(8) | Yes |
| <i>Risk Assessment & Management</i> | 17(9) | Yes |
| <i>Performance Evaluation of Independent Directors</i> | 17(10) | Yes |
| <i>Composition of Audit Committee</i> | 18(1) | Yes |
| <i>Meeting of Audit Committee</i> | 18(2) | Yes |
| <i>Composition of nomination & remuneration committee</i> | 19(1) & (2) | Yes |
| <i>Composition of Stakeholder Relationship Committee</i> | 20(1) & (2) | Yes |
| <i>Composition and role of risk management committee</i> | 21(1), (2), (3), (4) | Yes |
| <i>Vigil Mechanism</i> | 22 | Yes |
| <i>Policy for related party Transaction</i> | 23(1), (5), (6), (7) & (8) | Yes |

| | | |
|--|-----------------------|----------------|
| <i>Prior or Omnibus approval of Audit Committee for all related party transactions</i> | 23(2),(3) | Yes |
| <i>Approval for material related party transactions</i> | 23(4) | Not Applicable |
| <i>Composition of Board of Directors of unlisted material Subsidiary</i> | 24(1) | Not Applicable |
| <i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i> | 24(2),(3),(4),(5)&(6) | Yes |
| <i>Maximum Directorship & Tenure</i> | 25(1)&(2) | Yes |
| <i>Meeting of independent directors</i> | 25(3)&(4) | Yes |
| <i>Familiarization of independent directors</i> | 25(7) | Yes |
| <i>Memberships in Committees</i> | 26(1) | Yes |
| <i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i> | 26(3) | Yes |
| <i>Disclosure of Shareholding by Non-Executive Directors</i> | 26(4) | Yes |
| <i>Policy with respect to Obligations of directors and senior management</i> | 26(2)&26(5) | Yes |

III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

Name : **Shailesh Kumath**
Designation : **Company Secretary & Compliance Office**