



ANIK INDUSTRIES LIMITED

CIN - L24118MH1976PLC136836

Corporate Office :

2/1, South Tukoganj, Behind High Court,

Indore - 452001 (M.P.), INDIA

Phone : +91-731-4018009-10/41

Fax : +91-731-2513285

Email : anik@anikgroup.com

Website : www.anikgroup.com

Date: 07/05/2022

National Stock Exchange of India Ltd.

"Exchange Plaza", C-1, Block G,
Bandra-Kurla Complex, Bandra (E),
Mumbai - 400051
Symbol: ANIKINDS

BSE Limited

25th Floor, New Trading Ring,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai-400001
Scrip Code: 519383

Sub: Annual Secretarial Compliance Report for the Financial Year Ended 31st March, 2022 under Regulation 24A of SEBI (LODR) Regulations, 2015.

Dear Sir / Ma'am,

Pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 08th February, 2019; please find attached herewith the "Annual Secretarial Compliance Report" for the Financial Year ended 31st March, 2022 issued by Ajit Jain & Co., Company Secretaries, Indore.

You are requested to take the same on your records and oblige.

Thanking you,

Yours sincerely,

For Anik Industries Limited

Mayank Chadha
Company Secretary



**SECRETARIAL COMPLIANCE REPORT OF ANIK INDUSTRIES LIMITED FOR THE
YEAR ENDED 31.03.2022**

(Pursuant to SEBI Circular CIR/CFD/CMD1/27/2019 dated February, 08, 2019)

We **AJIT JAIN & CO., PRACTICING COMPANY SECRETARY** have examined:

- all the documents and records made available to us and explanation provided by **ANIK INDUSTRIES LIMITED (CIN:L24118MH1976PLC136836)** ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31ST MARCH, 2022 ("Review Period") in respect of compliance with the provisions of :

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (NOT APPLICABLE DURING REVIEW PERIOD)
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (NOT APPLICABLE DURING REVIEW PERIOD)
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;(NOT APPLICABLE DURING REVIEW PERIOD)
- Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013; (NOT APPLICABLE DURING REVIEW PERIOD)
- Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- The Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulations, 1993 as applicable and circulars/ guidelines issued thereunder; and based on the above examination, We hereby report that, during the Review Period:



- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 33 of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015 read with Regulation 30 of said Regulations.	The outcome of Audited Financial Results for the Quarter and year ended 31 st March, 2021 approved in the Board Meeting held on 30.06.2021 was not submitted with Stock Exchange within time.	Company submitted outcome of audited financial results for the quarter and year ended 31 st March, 2021 after thirty minutes.
2.	Intimation regarding violation of Regulation 5 of SEBI (Prohibition of Insider Trading) Regulations, 2015	One of the Promoters of the Company sold the shares while trading window was closed.	Company intimated to Stock Exchanges for violation.
3.	Intimation regarding violation of Regulation 5 of SEBI (Prohibition of Insider Trading) Regulations, 2015	One of the Promoter's liquidator of the company sold the shares while trading window was closed.	Company intimated to Stock Exchanges for violation.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	Stock Exchanges (BSE and NSE)	Non-Compliance of Regulation 33 of SEBI (Listing Obligation and Disclosure Requirements), 2015.	Fine was imposed by the BSE Ltd. and the National Stock Exchange of India Ltd. for late submission of Audited Financial Results for the Quarter and year ended 31 st March, 2021.	The Audited Financial Results for the Quarter and year ended 31 st March, 2021 was not submitted within due time from the end of the Financial Year.

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:



Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial compliance Report for the year ended...--	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	Non-Compliance of Regulation 33 of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015 - The Financial Results for the Quarter & year ended 31 st March, 2020, was not submitted within due date.	For the Quarter & Year ended 31 st March, 2020.	Financial Results for the Quarter & year ended 31 st March, 2020, was not submitted within due date.	Company submitted financial results for the quarter & year ended 31 st March, 2020, after due date.
2.	Non-Compliance of Regulation 33 of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015 - The Un-audited Financial Results for the Quarter ended 30 th June, 2020 was not submitted within time.	For the Quarter ended 30 th June, 2020	Un-audited financial results for the quarter ended 30 th June, 2020 was not submitted within due date.	Company submitted financial results for the quarter ended 30 th June, 2020 after due date.
3.	Non-Compliance of Regulation 34 of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015 - The Annual Report for the Financial Year ended 31 st March, 2020 was not submitted to Stock Exchange within stipulated time.	Annual Report for the Financial Year ended 31 st March, 2020	Submission of Annual Report for the financial year ended 31 st March, 2020 after due date.	Company submitted the Annual Report for the financial year ended 31 st March, 2020 after due date.
4.	Intimation regarding violation of Regulation 5 of SEBI (Prohibition of Insider Trading) Regulations, 2015	One of the Promoters of the Company sold the shares while trading window was closed.	Company intimated to Stock Exchanges for violation.	Company intimated to Stock Exchanges for violation.

Place: Indore
Date: 26/04/2022



For Ajit Jain & Co.,
Company Secretaries

A. K. Jain

CS Ajit Jain

Proprietor

COP No. : 2876, FCS NO.:3933

UDIN NO: F003933D000213571

Peer Review Certificate No.:767/2020

PCS Unique ID NO.: S1998MP023400